02/18/2004 15:54 FAX

21001

FOLEY: LARDNER

WASHINGTON HARBOUR 3000 K STREET, N.W., SUITE 500 WASHINGTON, D.C. 20007-5143 TELEPHONE: 202.672.5300 FACSIMILE: 202.672.5399 WWW.FOLEYLARDNER.COM

FACSIMILE TRANSMISSION

Total # of Pages $\underline{6}$ (including this page)

то:	PHONE #:	FAX #:
Examiner Florian Zeender		702 746 2510
United States Patent and Trademark Office		703.746 3510

From: William T. Ellis

Sender's Direct 202.672.5485

Date: February 18, 2004

Client/Matter No: 062834-0127

User ID No: 0251

MESSAGE:

Re: U.S. Patent Application Serial No. 09/815,490

> If there are any problems with this transmission or if you have not received all of the pages, please call 202.672.5340.

		
Operator:	Time Sent:	Return Original To:
		Cymanthia T. Irving

CONFIDENTIALITY NOTICE: THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR ANY AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS C MMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

Title: SUPPLY CHAIN MANAGEMENT FRAMEWORK INTERFACE Inventor(s): George H. HOFFMAN, et al. Dkt. No. 062834-0127 WTE/phs/smm Appl. No.: 09/815,490

Information Disclosure Statement (2 pgs.);

Form PTO/SB/08(2 pgs.) and 25 References.



Commissioner for Patents:

Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto and mailing this card.

WTE/phs/smm

Respectfully, Foley & Lardner

OR: AT INSP: LEU

2003 1 A

Atty. Dkt. No. 062834-0127

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George H. HOFFMAN, et al.

Title:

SUPPLY CHAIN MANAGEMENT

FRAMEWORK INTERFACE

Appl. No.:

09/815,490

Filing Date:

03/23/2001

Examiner:

Unassigned

Art Unit:

2163

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents PO Box 1450 Al'exandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98,

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

Atty. Dkt. No. 062834-0127

RELEVANCE OF EACH DOCUMENT

An English translation of the foreign-language document is not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language document (37 CFR §1.98 and MPEP §608). An English Abstract is attached for document B22.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP \$609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted

William T. Ellis

Attorney for Applicant Registration No. 26,874

FOLEY & LARDNER

Customer Number: 22428

PATENT TRADEMARK OFFICE

Telephone: (202) 672-5485

Facsimile:

(202) 672-5399

2

21005

MODIFIED PTO/SB/08 (06-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

Substitute for form 1449B/PTO			PTO		Complete if Known
INFORMATION DISCLOSURE				Application Number	09/815,490
STATEMENT BY APPLICANT Date Submitted: June 19, 2003			CANT	Filing Date	03/23/2001
			. 2003	First Named Inventor	George H. HOFFMAN
				Group Art Unit	2163 3627
(use as many sheets as necessary)		Examiner Name	Unassigned Zeend		
Sheet	1	of	2	Attorney Docket Number	062834-0127

U.S. PATENT DOCUMENTS U.S. Patent Document Pages, Columns, Lines							
Examiner Initials*	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
pu	B1	4,713,761		Sharpe et al.	12-15-1987		
	B2	4,799,156		Shavit et al.	01-17-1989		
	В3	5,305,199		LoBiondo et al.	04-19-1994		
	B4	5,712,989		Johnson et al.	01-27-1998		
	B5	5,7 <u>5</u> 8,329		Wojcik et al.	05-26-1998		
	B6	5,884,300		Brockman	03-16-1999		
	B7	5,893,076		Hafner et al.	04-06-1999		
	B8	5,970,469		Scroggie et al.	10-19-1999		
	B9_	5,970,475		Barnes et al.	10-19-1999		
	B10	6,064,981		Barni et al.	05-16-2000		
	B11	6,134,557		Freeman	10-17-2000		
	B12	6,138,106		Walker et al.	10-24-2000		
	B13	6,148,291		Radican	11-14-2000		
	B14	6,157,915		Bhaskaran	12-05-2000		
	B15	6,219,653	B1	O'Neill et al.	04-17-2001		
	B16	6,263,317	B1	Sharp et al.	07-17-2001		
$\neg \downarrow \downarrow$	B17	6,324,522	B2	Peterson et al.	11-27-2001	V.A.	
	B18	6,341,271	B1	Salvo et al.	01-22-2002		
	B19	6,393,408	B1	Mosher et al.	05-21-2002		
	B20_	6,41 <u>8,4</u> 16	B1	Rosenberg et al.	07-09-2002		
<u> </u>	B21	2002/0019759	A1	Arunapuram et al.	02-14-2002		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent D Office ³ Number ⁴	Pocument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	7.6
12.	B22	JP 2002236730	Α	SUGIOKA, MIKIO	08-23-2002		
							-

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ^a
BC	B23	Information on Deal Time International, 1998-2002	

Examiner Signature	RZ	Date Consid r d	1/12/04
ECVARABLED.	Jahlal Vice		

Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WiPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

MODIFIED PTO/SB/08 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO			/PTQ		Complete if Known
INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: June 19, 2003				Application Number	09/815,490
			CANT	Filing Date	03/23/2001
			. 2003	First Named Inventor	George H. HOFFMAN
	·			Group Art Unit	2169 3627
(use as many sheets as necessary)		Examiner Name	Unassigned Zeemelen		
Sheet	2	of	2	Attorney Docket Number	062834-0127

		NON PATENT LITERATURE DOCUMENTS	-		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, senal, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			
ps	B24	Information on Circuit City Stores, Inc., 1998-2002			
Ra	B25 "Deal Time.com Spiders Web for Bargains", Mary Hillebrand, 30 June, 1999, E-Commerce Times				
<u> </u>					
			ļ. <u>.</u>		
	_				
	_		-		

Examiner Signature	22	Date Considered	1/18/04
- TYATAMER	Table 1 to a second of the sec		

INER: Initial it reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number, ²See attached Kinda of U.S. Patent Documents, ³Enter Office that tasued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁹Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450,